

Anomia training and brain stimulation in chronic aphasia

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Recent studies have reported enhanced performance on language tasks induced by non-invasive brain stimulation, i.e., repetitive transcranial magnetic stimulation (rTMS), or transcranial direct current stimulation (tDCS), in patients with aphasia due to stroke or Alzheimer's disease (AD). The first part of this article reviews brain stimulation studies related to language recovery in aphasic patients. The second part reports results from a pilot study with three chronic stroke patients who had non-fluent aphasia, where real or placebo rTMS was immediately followed by 25 minutes of individualised speech therapy. Real rTMS consisted of high-frequency rTMS over the left dorsolateral prefrontal cortex (BA 8/9) for 25 minutes. Each patient underwent a total of four weeks of intervention. P1 underwent four weeks of real rTMS (5/week) where individualised speech therapy was provided for 25 minutes immediately following each rTMS session. P2 and P3 each underwent two

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90 weeks of placebo rTMS, followed immediately by individualised speech therapy; then two weeks of real rTMS, followed immediately by individualised speech therapy. Assessments took place at 2, 4, 12, 24 and 48 weeks post-entry/baseline testing. Relative to entry/baseline testing, a significant improvement in object naming was observed at all testing times, from two weeks post-intervention in real rTMS plus speech therapy, or placebo rTMS plus speech therapy. Our findings suggest beneficial effects of targeted behavioural training in combination with brain stimulation in chronic aphasic patients. However, further work is required in order to verify whether optimal combination parameters (rTMS alone or speech therapy alone) and length of rTMS treatment may be found.

Keywords: TMS; rTMS; Naming; Speech therapy.

100 INTRODUCTION

105 Aphasia is a frequent consequence of stroke (Engelter, 2006; Laska, Hellblom, Murray, Kahan, & Von Arbin, 2001) and is associated with increased mortality, decreased rates of functional recovery, and reduced probability of returning to work (Black-Schaffer & Osberg, 1990; Paolucci et al., 1998; Tilling et al., 2001). While there is a general consensus that aphasia rehabilitation is effective (Berthier & Pulvermuller, 2011; Cappa et al., 2003, 2005; Galletta, Rao, & Barrett, 2011), high-quality clinical trials are lacking and there is insufficient evidence indicating which is the best approach to delivering speech and language therapy (Kelly, Brady, & Enderby, 2010). Moreover, recent studies have shown that a high intensity of treatment is crucial for efficacy (Bhogal, Teasell, & Speechley, 2003); however, from a clinical prospective, highly intensive treatments are often impractical.

115 Within this context, there is increasing interest in the potential enhancement of performance with non-invasive brain stimulation, i.e., repetitive transcranial magnetic stimulation (rTMS) or transcranial direct current stimulation (tDCS), applied to specific cortical areas (Miniussi et al., 2008). Facilitation effects have been observed in patients with stroke and dementia when performing a variety of cognitive tasks. These effects have been related to TMS-induced changes in cortical excitability resulting in functional reorganisation and improved cognitive performance. Specifically, the potential of rTMS or tDCS to trigger adaptive neuroplasticity in neurological patients has been related to three main mechanisms: (1) the reactivation of canonical networks, partly damaged or made dysfunctional by the cerebral lesion; (2) the recruitment of compensatory networks, mostly contralateral homologue cortical regions; and (3) the additional recruitment of perilesional sub-optimally

functioning areas (see Miniussi & Rossini, this issue, for a discussion). However, the mechanisms responsible for rTMS- and tDCS-induced changes in neural activity remain largely unknown. There is, however, evidence that rTMS and tDCS techniques can modify neuronal excitation through different mechanisms: TMS elicits action potentials in neurons, whereas tDCS does not (see Paulus, this issue). However, tDCS effectively modifies both the evoked cortical response to afferent stimulation, and the post-synaptic activity level of cortical neurons, presumably by inducing a shift in intrinsic neuronal excitability (Bindman, Lippold, & Redfearn, 1962). In other words, both techniques can modify cortical plasticity by increasing excitability in cortical neurons within a specific network and, in doing so, improve the cognitive ability sustained by the stimulated network.

Specifically, regarding rTMS, there is evidence that the frequency of stimulation modulates neural activity. High-frequency rTMS (≥ 5 Hz), has been shown to increase cortical excitability, whereas low-frequency rTMS (≤ 1 Hz) can inhibit “maladaptive” plasticity, which prevents recovery from aphasia (Martin, Naeser, Ho, Doron, et al., 2009). The administration of rTMS to the anterior portion of the right homologue of Broca’s area (pars triangularis) improves picture naming in patients with non-fluent aphasia (Barwood, Murdoch, Whelan, Lloyd, Riek, O’Sullivan, Coulthard, & Wong, 2011; Barwood, Murdoch, Whelan, Lloyd, Riek, O’Sullivan, Coulthard, Wong, et al., 2011; Hamilton et al., 2010; Naeser, Martin, Lundgren, et al., 2010; Naeser, Martin, Nicholas, Baker, Seekins, Helm-Estabrooks, et al., 2005). In a stroke patient with chronic non-fluent aphasia, the same authors reported improvement of language following treatment with continuous positive airway pressure (CPAP) for sleep apnoea, as well as following CPAP plus slow-rTMS, to suppress pars triangularis (Naeser, Martin, Lundgren, et al., 2010). Naeser et al. (Naeser, Martin, Nicholas, Baker, Seekins, Kobayashi, 2010) argue that low-frequency rTMS over the right pars triangularis suppresses maladaptive right hemisphere frontal activations, and thus allows for the activation of left hemispheric perilesional and perisylvian areas, as well as the left supplementary motor area, which support recovery in non-fluent aphasic patients. However, in the study by Martin and co-authors (Martin, Naeser, Ho, Doron, et al., 2009), only one of two patients with chronic non-fluent aphasia showed language improvement following low-frequency rTMS on the right pars triangularis. Martin et al. (Martin, Naeser, Ho, Doron, et al., 2009) argue that the shift to left hemisphere activation post-rTMS observed in the fMRI scans of the good responders supports the idea that restoration of the left hemisphere language network is linked, at least in part, to a better recovery of naming in non-fluent aphasia. Moreover, Martin, Naeser, Ho, Treglia, et al. (2009) reported improved naming performance following a combined behavioural and rTMS treatment in a pilot single-case study, in which rTMS stimulation was immediately followed by constraint-induced language

therapy. These pilot data suggest that a combined behavioural-rTMS treatment could be more efficient than behavioural treatment alone.

260 In this vein, Kakuda, Abo, Kaito, et al. (2010) demonstrated that the application of low-frequency rTMS to an area that is homologous to the most activated area, as evaluated in a pre-treatment fMRI acquisition, resulted in improvement of language abilities in three chronic stroke patients. In a subsequent study, the authors applied rTMS over the left Wernicke's area combined with language therapy in two post-stroke patients with "sensory-dominant" aphasia (Kakuda, Abo, Uruma, et al., 2010). Ten sessions of treatment were delivered during a 6-day hospitalisation period, followed by a weekly outpatient rTMS treatment for 3 months. The study showed an improvement of comprehension abilities in both patients at the end of the 265 6th day, maintained at the 3-month post-discharge period.

270 A recent randomised, controlled, blinded pilot study has investigated the effect of low-frequency rTMS over the right-hemispheric pars triangularis portion of the Broca's area homologue in 10 left-brain-damaged patients with post-stroke aphasia (Weiduschat et al., 2011). Patients received, in addition to conventional speech and language therapy, multiple sessions of rTMS. Using positron emission tomography (PET) this study revealed a shift of activation towards the right hemisphere in the placebo group, but not in the real rTMS group of patients. Furthermore, only patients in the 275 real rTMS group improved their performance in the Aachener Aphasia Test (AAT) global score. The authors suggest that low-frequency rTMS applied on the right-hemispheric pars triangularis portion of the Broca's area homologue prevents right-hemispheric lateralisation resulting in a better clinical improvement.

280 Furthermore, Szaflarski and co-authors (2011) provide preliminary evidence regarding the safety and efficacy of fMRI-guided high-frequency rTMS (intermittent theta burst stimulation) applied to the residual left hemispheric Broca's area in eight left-brain-damaged patients with post-stroke aphasia. The study showed an improvement in semantic fluency after 2 weeks of stimulation that was associated with a significant shift of the fMRI signal to the affected hemisphere. Finally, Jung and co-workers 285 (2010) report a significant improvement of naming and comprehension performances in a post-stroke patient affected by crossed aphasia, following the application of low-frequency rTMS over the left parietal cortex.

290 tDCS is another way of promoting neuroplasticity to enhance cognitive performance. In tDCS, a weak electrical current is directly applied to the head to generate an electrical field that modulates neuronal activity (see Paulus, this issue). Anodal tDCS (atDCS) has a general facilitation effect and causes membrane depolarisation, whereas cathodal tDCS (ctDCS) has a general inhibitory effect and causes membrane hyperpolarisation (cathodal stimulation, see Liebetanz, Nitsche, Tergau, & Paulus, 2002). Behavioural 295

345 facilitatory tDCS effects have been highlighted (see Vallar & Bolognini, this issue) with respect to implicit motor learning (Nitsche et al., 2003; Reis et al., 2009), working memory (Fregni et al., 2005; Ohn et al., 2008), pitch memory (Vines, Schneider, & Schlaug, 2006), perception (Antal et al., 2004), and language (Fertonani, Rosini, Cotelli, Rossini, & Miniussi, 2010; Iyer et al., 2005; Sparing, Dafotakis, Meister, Thirugnanasambandam, & Fink, 2008). Interestingly, and particularly relevant to the neurorehabilitation field, these tDCS-induced modifications of cortical excitability and behaviour can outlast the stimulation period itself. Hence, there is growing interest in applying these methodologies therapeutically, in order to potentiate the effects of cognitive rehabilitation, and to reduce cognitive deficits in patients with chronic and neurodegenerative diseases.

350 Monti and co-authors (2008) reported naming facilitation following cathodal stimulation over the damaged left frontotemporal areas in eight patients with chronic, non-fluent, post-stroke aphasia. Baker, Rorden, and Fridriksson (2010) performed a combined behavioural tDCS study with left-brain-damaged patients receiving 5 days of computerised anomia therapy concurrently with tDCS over the damaged left hemisphere or sham stimulation. This study revealed significantly improved naming accuracy in patients treated with anodal tDCS compared with the sham tDCS patients. In addition, the treatment effect persisted for at least 1 week after treatment. Fridriksson et al. (2011) applied the same procedure used by Baker et al. (2010) in eight left-brain-damaged patients with chronic fluent aphasia, and showed that anodal tDCS administered during language treatment decreased verbal reaction times during naming, as assessed immediately post-treatment and 3 weeks later. Another recent study (Fiori et al., 2011) highlights the beneficial effects of 5 days of anodal tDCS in three aphasic patients. The stimulation was applied to Wernicke's area while patients were executing a naming task. This procedure produced an improvement in naming accuracy that lasted for 3 weeks.

365 In a randomised, double-blind, sham controlled crossover trial, Floel and co-workers (2011) explored whether anodal tDCS compared to cathodal tDCS and placebo stimulation applied over the right temporo-parietal cortex would improve the success of anomia training in a group of 12 post-stroke aphasic left-brain-damaged patients. This finding indicates that all treatment conditions led to a significant increase of naming ability, with a greater effect of anodal tDCS as compared to cathodal and placebo tDCS.

370 Kang and colleagues (2011) evaluated the hypothesis that cathodal tDCS applied on the right Broca's homologue could improve picture naming in patients with post-stroke aphasia. Patients received 5 consecutive days of cathodal tDCS followed or preceded (with a minimum interval of 1 week) by 5 days of placebo tDCS and simultaneous language therapy. Results demonstrated that cathodal tDCS applied over the right Broca's homologue

combined with language therapy can improve picture naming task performance in post-stroke aphasic patients.

430 Furthermore, You, Kim, Chun, Jung, and Park (2011), in a prospective, double-blind, sham-controlled study assessed whether anodal tDCS delivered to the left superior temporal gyrus, or cathodal tDCS to the right superior temporal gyrus in comparison with sham tDCS could ameliorate aphasic symptoms. Cathodal tDCS over right superior temporal areas brought about significantly greater improvements in auditory verbal comprehension as compared to the other two conditions.

435 In summary, recent studies report enhanced cognitive performance following rTMS or tDCS, to specific cortical areas in a variety of patients with neurological diseases (see Table 1). Specifically, in chronic aphasia patients, non-invasive brain stimulation has been shown to increase the number of correct responses and to reduce response times. Moreover, recent studies
440 suggest that these effects can persist over time (Baker et al., 2010; Barwood, Murdoch, Whelan, Lloyd, Riek, O’Sullivan, Coulthard, & Wong, 2011; Fiori et al., 2011; Floel et al., 2011; Fridriksson et al., 2011; Hamilton et al., 2010; Kakuda, Abo, Kaito, et al., 2010; Kakuda, Abo, Uruma, et al.,
445 2010b; Martin, Naeser, Ho, Doron, et al., 2009; Martin, Naeser, Ho, Treglia, et al., 2009; Naeser, Martin Lundgren, et al., 2010; Naeser, Martin Nicholas, Baker, Seekins, Helm-Estabrooks, et al., 2005; Naeser, Martin, Nicholas, Baker, Seekins, Kobayashi, et al., 2005; Naeser, Martin, Treglia, et al., 2010).

450 The present study reports the results of a combined rTMS anomia training approach administered to three patients with post-stroke chronic aphasia (PWAs).

PARTICIPANTS

455 Three patients with post-stroke chronic aphasia (PWAs) were recruited for the present study. Time after onset of aphasia varied from 1 year to 4.5 years. Two PWAs had suffered a left middle cerebral artery infarction, PWA 1 (P1) and PWA 3 (P3), whereas one PWA had a left capsulo-thalamic haemorrhage, PWA 2 (P2). P2 and P3 were right-handed, P1 left-handed. A clinical assessment showed full awareness of the deficits in each of the three patients.

460 Each patient underwent a neurological assessment, complete neuropsychological assessment (see Table 2) and neuroimaging diagnostic procedures (see Figure 1). They all presented non-fluent speech but no verbal dyspraxia. The ability to understand single words was preserved. They could repeat and read single words but had a naming deficit.

465 Exclusion criteria included clinical evidence of depression, clinical signs of hearing or vision impairment, a past history of epilepsy, implanted metal objects, psychosis or major depression, alcohol abuse and drug addiction.

TABLE 1
Different rTMS and tDCS approaches

Study	Number of patients (time post-stroke onset in months)	Lesion site	Type of aphasia	rTMS/tDCS approach	Placebo	Stimulated area	Language training	Outcome measures	Follow up timings from baseline	Results
(Naesser et al., 2005) ¹	1 (77)	LH SCL	P1: NF/G	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	No	BNT, BDAE	10, 34 w	Improvement in naming accuracy on BNT and BDAE at 10 and 34 w.
(Naesser et al., 2005) ²	4 (60–132)	P1–P3: LH FC; P4: LH SCL	P1: A; P2: P3: NF; P4: NF/G	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	No	BNT, BDAE	10, 34 w	Improvement of naming of BDAE. Improvements in BNT, animals and tools/implements of BDAE at 10 w, in naming of BNT, animals and tools/implements of BDAE at 34 w.
(Martin et al., 2009) ³	2 (P1: 120; P2: 24)	P1: LH FTFC, SCL; P2: LH FTFC, SCL.	P1, P2: NF	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	No	BNT, BDAE	P1: 10, 26, 34, 66, 174 w; P2: 10, 26 w	P1: improvement of naming and longest phrase length at all timepoints. P2: no improvements.
(Martin et al., 2009) ⁴	1 (125)	LH SCL	P1: NF/G	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	Post, CIL.T, 180 m	BNT, BDAE	6, 26 w	Improvement of naming of BDAE.

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TABLE 1
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Study	Number of patients (time post-stroke onset in months)	Lesion site	Type of aphasia	rTMS/tDCS approach	Placebo	Stimulated area	Language training	Outcome measures	Follow up timings from baseline	Results
(Naeser et al., 2010) ⁵	1 (24)	LH FTC, LH SCL	P1: NF	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	No	BNT, BDAE	14, 26, 114 w	Improvement in picture description, auditory comprehension and naming at 14, 26 and 114 w.
(Naeser et al., 2010) ⁶	2 (P1: 149; P2: 56)	P1: LH SCL; P2: LH FTC, LH SCL	P1: NF/G; P2: NF	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	Post, CILTI180 m	BNT, BDAE	P1: 6, 26 w; P2: 10 w	Improvement of action naming of BDAE, Tools/Implementations, Single Word Repetition in P1; naming of BNT, propositional speech in P2.
(Barwood et al., 2011) ⁷	12 (24-72); real (6) and placebo (6)	P1-P6, P8, P10-P12: LH FTC; P7: LH TC; P9: LH FTC, RH OC, SCL	P1, P3, P6-P11: NF; P2, P4-P5, P12: NF/G	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	Yes	RH PTr	No	BNT, BDAE, SV	10 w	Improvement of naming accuracy on BNT, BDAE, SV object naming accuracy; reduction of object-naming latency.

685	(Hamilton et al., 2010)	1 (7)	LH FTFC	P1: NF	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	RH PTr	No	BDAE, WAB	10, 26, 42 w	Improvement in picture description. Improvement of naming at 26 w and of spontaneous speech of WAB and picture description at 42 w.
690	(Kakuda, Abo, Kaito, et al., 2010)	4 (5-28)	P1: LH FTFC; P2, P3: LH FC; P4: LH putamen.	P1-P4: MD	1 Hz rTMS, 20 m/d (1200 p), 90% of MT for 10 treatments, 6 d	No	P1, P2: RH FC; P3, P4: LH FC	Post, LT 60 m	WAB, SLTA, SLTA-ST	5 w	Improvement of WAB, SLTA, SLTA-ST in P1 and P2 and of naming of SLTA in P3 and repetition of WAB in P4. Stable at 5 w.
695	(Kakuda, Abo, Uruma, et al., 2010)	2 (7-8)	P1-P2: LH FTFC	P1-P2: SD	1 Hz rTMS, 20 m/d (1200 p), 90% of MT for 10 treatments, 6 d plus 1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 1 d/w, 12 w	No	LH W	Post, LT 60 m	SLTA, TT	12 w	Improvement of TT and comprehension of SLTA at 2 and 12 w.
700	(Jung et al., 2010)	1 (36)	NA	P1:C	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	No	LH PC	No	MMSE, MAS, K-WAB	none	Improvement of naming and comprehension at 2 w.

(Continued)

TABLE 1
Continued.

Study	Number of patients (time post-stroke onset in months)	Lesion site	Type of aphasia	rTMS/tDCS approach	Placebo	Stimulated area	Language training	Outcome measures	Follow up timings from baseline	Results
(Barwood et al., 2011) ⁸	12 (24–72); real (6) and placebo (6)	P1–P6, P8, P10–P12: LH FTC; P7: LH TC; P9: LH FTC, RH OC, SCL	P1, P3, P6–P11; NF; P2, P4–P5, P12; NF/G	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	Yes	RH PTr	No	BNT, BDAE, SV	none	Improvement on BNT, BDAE naming accuracy, picture description and repetition, SV naming accuracy and reduction of SV naming latency. Improved SF performance.
(Szaflarski et al., 2011)	8 (> 12, mean: 63)	NA	P1, P3, P4, P6; A; P2, P5, P7, P8; NF	iTBS, 200 s/d (600 p), 80% of MT, 5 d/w, 2 w	No	LH B	No	SF, CAL, COWAT, BNT, PPVT, BDAE	none	
(Weiduschat et al., 2011)	10 (1–3) real (6) and placebo (4)	P1: FC; P3: TC; P4, P13: SCL; P6: FTC; P7, P14: TPC; P8: FTFC; P10, P12: FC, SCL.	P1, P6; NF; P3–P4, P10, P12–P14; F; P7, P8; G	1 Hz rTMS, 20 m/d (1200 p), 90% of MT, 5 d/w, 2 w	Yes	RH PTr	Post, individualized LT 45 m	AAT	none	Improvement of AAT total score in the real group.

(Monti et al., 2008)	8 (24–96)	atDCS– ptDCS, atDCS– ctDCS, ptDCS– ctDCS	885	880	875	870	865	860	855
		<p>P1, P7: LH FC, SCL; P2, P8: LH FPC, SCL; P3, P5: LH FTPC, SCL; P4: LH SCL; P6: FC, SCL</p>		<p>P1, P3, P6, P8; NF; P2, P4, P5, P7: G</p>	<p>atDCS or ctDCS (2 mA), 10 m/d, 1 d</p>	<p>Yes</p> <p>experiment 1: LH B; experiment 2: OC</p>	<p>No</p>	<p>pictures naming</p> <p>none</p>	<p>ctDCS over the LH B as compared to ptDCS and atDCS improved naming accuracy.</p>
(Baker et al., 2010)	10 (10–242)	<p>P1-P3, P5-P6, P8: LH FTC, SCL; P4, P7, P9-P10: LH TC</p>		<p>P1, P3, P6, P8; NF; P2, P4, P5, P7, P9, P10: A</p>	<p>atDCS (1 mA), 20 m/d, 5 d/w, 1 w</p>	<p>Yes</p> <p>fMRI- selected LH FC</p>	<p>During, CAT</p>	<p>3 w</p>	<p>atDCS plus CAT as compared to ptDCS plus CAT improved naming accuracy (treated and untreated items) and this effect persisted at 3 w.</p>
(Fiori et al., 2011)	3 (21–71)	<p>P1: LH SCL; P2: LH FC, LH SCL; P3: LH FTPC, LH SCL</p>		<p>P1-P3: NF</p>	<p>atDCS (1 mA), 20 m/d, 5 d/w, 1 w</p>	<p>Yes</p> <p>LH W</p>	<p>During, CAT</p>	<p>3, 5 w</p>	<p>atDCS plus CAT as compared to ptDCS plus CAT improved naming accuracy and reduced reaction times during a picture- naming task at post- treatment assessment and at 3 and 5 w.</p>
(Fridriksson et al., 2011)	8 (10–150)	<p>P1–P8: PC, LH SCL</p>		<p>P1–P8: F</p>	<p>atDCS (1 mA), 20 m/d, 5 d/w, 1 w</p>	<p>Yes</p> <p>fMRI- selected LH posterior C</p>	<p>During, CAT</p>	<p>5 w</p>	<p>atDCS plus CAT as compared to ptDCS plus CAT reduced reaction times during naming task of trained items both at post- tDCS assessment and at 5 w.</p>

(Continued)

TABLE 1
Continued.

Study	Number of patients (time post-stroke onset in months)	Lesion site	Type of aphasia	rTMS/tDCS approach	Placebo	Stimulated area	Language training	Outcome measures	Follow up timings from baseline	Results
(You et al., 2011)	21 (16–36) atDCS(7), ptSCD (7), ctDCS (7)	P1, P3: LH TPC; P2, P11, P14–P16: LH FC; P4, P10: LH TC; P5: LH FTC; P6, P12: SCL; P7–P9, P13, P17–P20: LH FPC, SCL; P21: LH PC	P1–P21: G	atDCS or ctDCS (2 mA), 30 m/d, 5 d/w, 2 w	Yes	RH W for ctDCS or LH W for atDCS	No	K-WAB	none	All groups improved as compared to baseline. ctDCS induced a greater improvement in comprehension as compared to atDCS and ptDCS.
(Floel et al., 2011)	12 (14–260) atDCS–ptDCS–ctDCS, atDCS–ctDCS,	P1–P12: LH FTC	P2–P7, P12: NF; P9: NC; P8: G; P11: F; P1, P10: AM	atDCS or ctDCS (1 mA), 40 m/d, 3 d/w, 1 w	Yes	RH TPC	During, CAT	pictures naming	2 w	atDCS, ctDCS and ptDCS plus CAT improved naming accuracy compared to baseline. atDCS induced greater effects. Effects stable at 2 w.

TABLE 2
Clinical features and baseline language assessment

	P1	P2	P3
1110			
Age at entry into this study (years)	41	45	71
Male/female	F	M	M
Education (years)	13	13	5
Aetiology (ischaemic/haemorrhagic)	I	H	I
Time post onset (years)	4.5	1	3.5
1115			
Length of a phrase (words)	5	4	1
Neurological symptoms	Hemiparesis	Hemiplegia	Hemiparesis
Handedness	Left	Right	Right
Aachener Aphasia Test (AAT)			
Token Test (Errors)	19/50	38/50	27/50
Repetition	132/150	106/150	83/150
1120			
Writing	86/90	62/90	8/90
Naming	105/120	94/120	13/120
Comprehension	109/120	82/120	42/120
Battery for the Analysis of the Aphasic Deficits (BADA)			
1125			
Object comprehension subtest	40/40	40/40	38/40
Action comprehension subtest	18/20	20/20	20/20
Object naming subtest	25/30	18/30	4/30
Action naming subtest	21/28	12/28	1/28
Sentence Comprehension	58/60	40/60	44/60

1130 Bold data indicate scores below normal cut-off.

1135 These parameters are consistent with the safety recommendations for rTMS (Rossi, Hallett, Rossini, Pascual-Leone, & Safety of TMS Consensus Group, 2009). The use of psychopharmacological agents that could interfere with the test performance or diagnosis and MRI evidence of relevant cerebrovascular changes unrelated to the main diagnosis of the patient were additional exclusion criteria.

1140 METHODS

TMS

1145 Patients were randomly assigned to one of two conditions: (1) Real-Real (RR), in which the patient received four weeks of rTMS stimulation of the left dorsolateral prefrontal cortex (DLPFC) combined with the speech therapy (P1); and (2) Placebo-Real (PR), in which patients received left DLPFC placebo stimulation combined with the speech therapy during the first two weeks followed by two weeks of real stimulation combined with speech therapy (P2 and P3). Each week of rTMS treatment consisted of 5

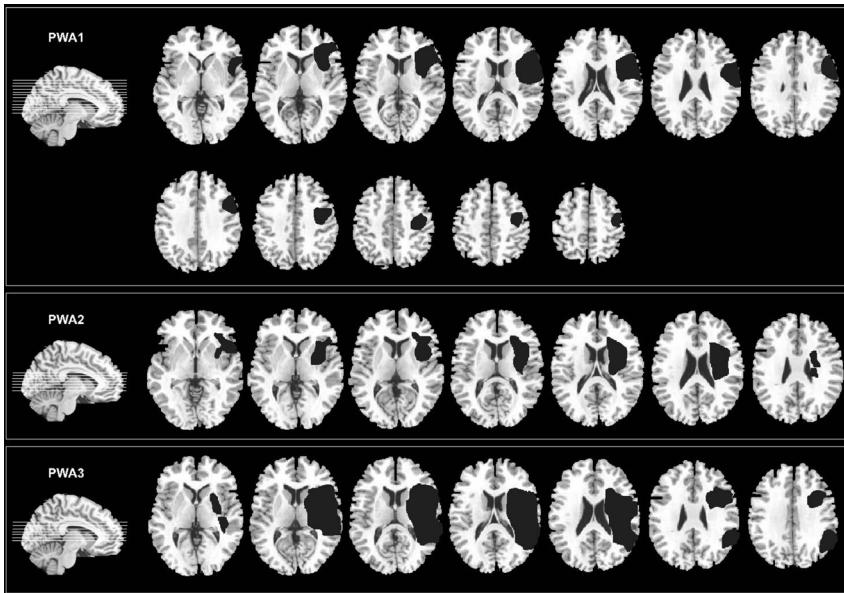


Figure 1. Structural MRIs for each patient. All the axial slices (thickness of 5 mm for P2 and P3 and of 4 mm for P1), where a lesion was present, are shown in a radiological convention (left side of brain is on the right).

sessions that comprised a total of 50 minutes/day (25 minutes of rTMS plus 25 minutes of speech therapy). rTMS was delivered using a Magstim unit featuring a double 70 mm air-cooled coil. Before starting the rTMS treatment, the right motor cortex excitability stimulation threshold was established for each subject (mean \pm SD: $54 \pm 10\%$). The stimulation intensity used during the experiment was set to 90% of each subject's motor threshold. Trains of high-frequency (20 Hz) rTMS were delivered in short periods (2 sec duration) separated by longer periods (28 sec) of no stimulation, for each 25-minute daily rTMS session. The total number of pulses for each session was 2000 (40 stimuli/train, 50 trains). These parameters are consistent with safety recommendations for rTMS (Rossi et al., 2009). Furthermore, all participants tolerated rTMS well and did not report any adverse effects. In the placebo condition, a sham coil was used. We localised the target areas using the SofTactic neuronavigator system (www.emsmedical.net) on an MRI template. Based on these estimated MRIs, the average location of the stimulating points was centred on Talairach coordinates $X = -35$, $Y = 24$, $Z = 48$, corresponding to the left DLPFC (Brodmann Area 8/9). To stimulate the DLPFC, the coil was placed with the junction of the two coil wings above the target point. During the experiment, the coil was fixed with a mechanical support.

Rationale for choosing the DLPFC as the rTMS target area

High-frequency rTMS to the left DLPFC results in reduced action naming latencies in young subjects (Cappa, Sandrini, Rossini, Sosta, & Miniussi, 2002), and in an increased number of correct responses for action naming in patients with mild Alzheimer's disease (AD), for both classes of stimuli (actions and objects) in moderate-to-severe AD patients (Cotelli et al., 2006; Cotelli, Manenti, Cappa, Zanetti, & Miniussi, 2008). Moreover, in an off-line study, a significant effect of left DLPFC stimulation (25 minutes/day for 5 days/week for 4 weeks) on language functioning, together with a lasting benefit at 6 months, was also found in an AD patient sample (Cotelli et al., 2011). Based on these observations, we hypothesised that high-frequency rTMS stimulation over the left DLPFC would result in improved naming in patients with post-stroke chronic aphasia. An additional hypothesis was that, combining this rTMS treatment with speech therapy, could enhance the improvement of the language deficit.

BEHAVIOURAL ASSESSMENT

Participants were assessed before therapy (baseline), after the first 2 weeks of treatment, and at the end of the 4 weeks of therapy. Tests including the following: a neuropsychological battery for reasoning and verbal fluency (Lezak, Howieson, & Loring, 2004); the Aachener Aphasie Test (AAT; Luzzatti et al., 1994); the object and action naming subtests, comprehension and sentence comprehension subtests of the Battery for the Analysis of the Aphasic Deficit (BADA; Miceli, Laudanna, Burani, & Papasso, 1994). See Table 2 for details.

Stimuli selection

To select stimuli for the therapy and to test for generalisation effects, all patients completed two oral naming tasks and one oral comprehension task. The oral naming task was repeated twice, on two consecutive days, to ensure a stable baseline before introducing therapy and to select the therapy items. Stimuli for the oral naming task were 340 black-and-white 2-dimensional line drawings representing objects, which were taken from the 795 corpus of objects and nouns of the CRL-IPNP (Center for Research in Language – International Picture Naming Project; <http://crl.ucsd.edu>) (Bates et al., 2000). These stimuli were normalised with healthy and brain damaged populations across seven international sites and languages; items were coded for a number of variables known to influence naming difficulty (e.g., word frequency, age of acquisition, degree of imageability, etc.). In total, 349 pictures of objects were displayed twice (on two consecutive

days) on a computer screen using Presentation software v. 12.0 (neurobehavioural systems: <http://www.neurobs.com>), with each picture being presented for a maximum of 10 seconds. Each participant was asked to name each picture as accurately as possible, and oral responses were recorded.

1365 In addition, because we aimed to focus the therapy on word production, all
misnamed pictures were included in a comprehension task to make sure that
the participants understood the word. In the comprehension task, participants
were asked whether a picture presented for a maximum of 10 seconds corre-
sponded or not to the spoken word. The participant was questioned about the
1370 picture's name during three consecutive trials, including the target's correct
name, a semantic distractor and an unrelated distractor (e.g., for the picture
of a bottle, the questions were "Is it a bottle?", "Is it a glass?" or "Is it a calend-
dar?", respectively). The presentation of the items and the order of the distrac-
tors were randomised. Only items for which no errors were made in any of the
1375 three trials were selected for the rehabilitation list.

The rehabilitation list was further split into two sets: the "therapy" list,
including the items to be treated; and the "control" list, with items not to be
treated (untreated items). The two lists were balanced for a number of vari-
1380 ables related to the participant's performance during the assessment of
naming abilities; more specifically, these variables included the percent of
correct picture naming across assessment sessions (one time or never across
two sessions), target word frequency, number of syllables and number of
letters, as well as semantic category (i.e., living or non-living). Furthermore,
1385 the two sets were split into two balanced sub-sets to be used during the first
and the second week of treatment or to be used during the third and the
fourth week, respectively (first and second vs. third and fourth).

Given the type of procedure used to select the "therapy" and "control" lists,
each participant ended with a personalised set of items, which ensured the
1390 within- and across-subject validity of the design.

Therapy protocol

1395 Participants underwent 25 minutes of speech therapy immediately following
each rTMS treatment on a daily basis for 4 weeks. In general, the procedure
included repetition and reading of the target word to facilitate naming, and an
articulatory suppression task (average duration of articulatory suppression: 10
seconds) (Baddeley, Lewis, & Vallar, 1984). For every error, the trial was
1400 repeated again (at the end of the list). The patient was seated in front of a com-
puter screen in a quiet room while the therapy protocol was displayed using Pres-
entation software v. 12.0 (neurobehavioural systems: <http://www.neurobs.com>).
The pictures showing the items to be treated and the written words used during
the treatment were presented on the computer screen. The treatment involved
several steps to elicit the production of a target noun, specifically:

Step 1: Repetition of the target word (the target word was spoken by the therapist and the participant was asked to repeat it three times).

Articulatory suppression task: Interference with articulatory codes caused by the uttering of an irrelevant speech sound (i.e., bla, bla, bla).

1450 In the suppression condition, participants received instructions to start uttering the syllable “bla”. The suppression was carried on during the interval between step 1 and step 2 and between step 3 and step 4.

Step 2: Oral picture naming (the target picture was presented on the computer screen and the participant was asked to retrieve its correct name).

1455 Step 3: Reading of the target word (the target written word was presented on the computer screen and the participant was asked to read it).

Articulatory suppression task: Interference with articulatory codes caused by the uttering of an irrelevant speech sound (i.e., bla, bla, bla).

1460 Step 4: Oral picture naming (the target picture was presented on the computer screen and the participant was asked to retrieve its correct name, and say it aloud).

The complete procedure was repeated when at least one of the two requested naming responses was incorrect.

1465 At the end of the therapy, object naming was reassessed, with their respective lists prepared during the pre-therapy assessment. At the end of the first two weeks of treatment, an equal number of treated and untreated items corresponding to the first two weeks were tested, whereas at the end of the treatment and at follow-up visits, all treated and untreated items corresponding to the four weeks of the experiment were tested.

RESULTS

1475 No changes were recorded in the standard neuropsychological assessment including formal language assessment (AAT and BADA) after the therapy. In contrast, significant improvements were found for object naming in the experimental stimuli set. For each participant, we calculated the baseline for the therapy and control lists. The percentage correct at baseline for each participant corresponded to the number of items correctly named in one of the two naming assessment sessions, divided by two and further divided by the total number of items in the therapy and control lists (both the therapy and control lists included the same number of items) multiplied by 100.

1480 For each PWA, a χ^2 comparison, with Yates correction, was applied to compare performance scores after 2, 4, 12, 24 and 48 weeks, with respect to baseline and for both the treated and untreated items.

1485 For treated items, the three PWAs showed improvement after 2 weeks of combined rTMS-behavioural therapy as compared to baseline, and this significant improvement persisted at 4, 12, 24 and 48 weeks. Moreover, the

improvement was also significant with untreated items after 2 weeks of combined rTMS and behavioural therapy and at weeks 4, 12, 24 and 48 (see Figure 2 and Table 3 for details).

1535 Additionally, in order to examine the generalisation of effects to untreated items, we compared the accuracy scores for trained and untrained items for each PWA and for each time-point using Yates-corrected χ^2 comparisons. The results showed a significant effect on treated items (vs. untreated items) at the 2- and 4-week post-treatment measurement points, in all three cases, whereas the difference between naming treated and untreated images decreased
1540 over time, and, in most cases, vanished at follow-up assessments beginning at 12 weeks post-entry/baseline in two of the three cases (see Table 3 for details).

DISCUSSION

1545 Our preliminary findings provide additional evidence for the beneficial effects of brain stimulation in combination with targeted behavioural training in PWAs suffering from anomia. In particular, a long-lasting effect of combined rTMS and behavioural therapy was observed; this effect was still present at
1550 48 weeks after the beginning of the combined rTMS-speech therapy intervention on the therapy list, but not on the standardised tests. This result is consistent with previous reports of enhanced cognitive performance following non-invasive brain stimulation (i.e., rTMS or tDCS) to specific cortical areas in patients with a variety of neurological diseases (Baker et al., 2010; Berthier, & Pulvermuller, 2011; Cotelli et al., 2006, 2008; Fiori et al., 2011; Martin et al., 2004; Monti et al., 2008; Naeser, Martin, Nicholas, Baker, Seekins, Helm-Estabrooks, et al., 2005; Naeser, Martin, Nicholas, Baker, Seekins, Kobayashi, et al., 2005). Moreover, the results of our study are also consistent with previous evidence regarding the increased efficacy of daily combined
1560 rTMS or tDCS plus cognitive rehabilitation (Baker et al., 2010; Fiori et al., 2011; Floel et al., 2011; Fridriksson et al., 2011; Kakuda, Abo, Uruma, et al., 2010; Kang et al., 2011; Martin, Naeser, Ho, Treglia, et al., 2009; Naeser, Martin, Treglia, et al., 2010; Weiduschat et al., 2011).

1565 The present study provides additional evidence supporting the use of combined behavioural and brain stimulation approaches to achieve successful outcomes in aphasia therapy. The heterogeneity of the approaches used to date makes comparison of rTMS studies complex, i.e., (1) low-frequency rTMS over the unaffected hemisphere to suppress the presence of over-activation in the right frontal area due, in part, to lack of transcallosal inhibition from
1570 the damaged left frontal area; and (2) high-frequency rTMS over the damaged hemisphere aiming at facilitating spared regions surrounding the damaged areas.

Regarding tDCS, both anodal and cathodal stimulation applied over the lesional hemisphere improved language in post-stroke aphasia patients.

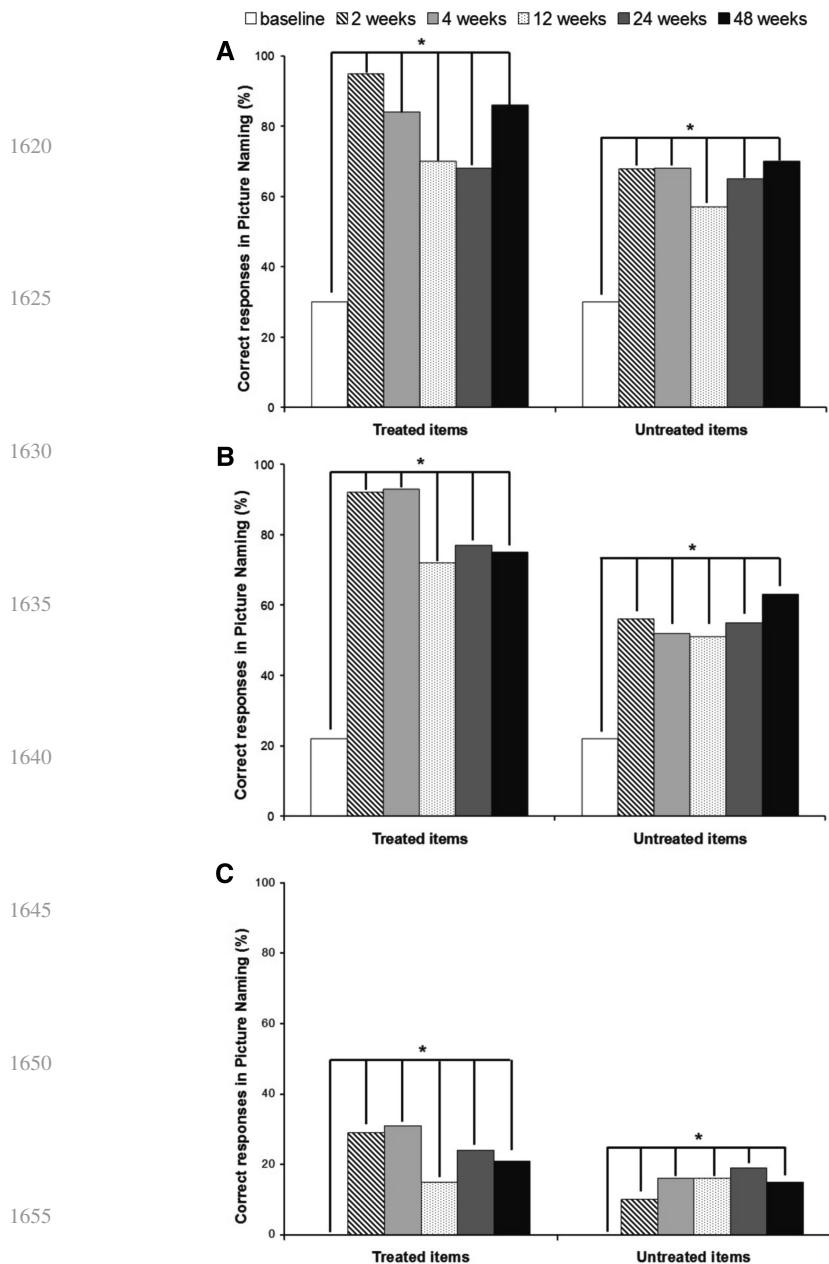


Figure 2. Percentage of correct responses in the picture-naming task for treated and untreated items at baseline and 2, 4, 12, 24 and 48 weeks after the beginning of treatment for patients P1 (A), P2 (B) and P3 (C). * $p < .05$.

TABLE 3
 Oral object naming at all time points for treated and untreated items for the three patients (P1, P2, P3)

Timing	Treated items		Untreated items		Treated vs. untreated
	Correctness %	Comparison with baseline	Correctness %	Comparison with baseline	
P1: 4 Weeks of real rTMS plus speech therapy					
Baseline	30				
2 weeks	95	$\chi^2 = 87.4, p < .001$	30	$\chi^2 = 27.4, p < .001$	$\chi^2 = 22.4, p < .001$
4 weeks	84	$\chi^2 = 57.3, p < .001$	68	$\chi^2 = 27.4, p < .001$	$\chi^2 = 6.2, p = .013$
12 weeks	70	$\chi^2 = 30.4, p < .001$	57	$\chi^2 = 13.8, p < .001$	n.s.
24 weeks	68	$\chi^2 = 27.4, p < .001$	65	$\chi^2 = 23.2, p < .001$	n.s.
48 weeks	86	$\chi^2 = 62.1, p < .001$	70	$\chi^2 = 30.4, p < .001$	$\chi^2 = 6.6, p = .011$
P2: 2 Weeks of placebo rTMS plus speech therapy; followed by 2 weeks of real rTMS plus speech therapy					
Baseline	22		22		
2 weeks	92	$\chi^2 = 97.1, p < .001$	56	$\chi^2 = 22.9, p < .001$	$\chi^2 = 31.8, p < .001$
4 weeks	93	$\chi^2 = 100.3, p < .001$	52	$\chi^2 = 18.0, p < .001$	$\chi^2 = 40.1, p < .001$
12 weeks	72	$\chi^2 = 48.2, p < .001$	51	$\chi^2 = 16.9, p < .001$	$\chi^2 = 8.5, p = .004$
24 weeks	77	$\chi^2 = 58.3, p < .001$	55	$\chi^2 = 21.6, p < .001$	$\chi^2 = 9.8, p = .002$
48 weeks	75	$\chi^2 = 54.1, p < .001$	63	$\chi^2 = 32.7, p < .001$	n.s.
P3: 2 Weeks of Placebo rTMS plus Speech Therapy; followed by 2 Weeks of Real rTMS plus Speech Therapy					
Baseline	0		0		
2 weeks	29	$\chi^2 = 31.6, p < .001$	10	$\chi^2 = 8.5, p < .001$	$\chi^2 = 10.3, p = .001$
4 weeks	31	$\chi^2 = 34.4, p < .001$	16	$\chi^2 = 15.3, p < .001$	$v = 5.5, p = .020$
12 weeks	15	$\chi^2 = 14.1, p < .001$	16	$\chi^2 = 15.3, p < .001$	n.s.
24 weeks	24	$\chi^2 = 25.1, p < .001$	19	$\chi^2 = 18.8, p < .001$	n.s.
48 weeks	21	$\chi^2 = 21.3, p < .001$	15	$\chi^2 = 14.1, p < .001$	n.s.

Statistical data (χ^2 , Yates correction) are also reported. n.s. = not statistically significant

What are the possible mechanisms responsible for these effects? It has been hypothesised that both tDCS and rTMS can affect the cortical plastic changes following stroke in a positive way and that these effects may outlast the stimulation period (Ridding & Rothwell, 2007).

1790 Several studies support the idea that a favourable recovery from post-stroke
aphasia is associated with a predominant reactivation of ipsilesional areas
(Heiss, Emunds, & Herholz, 1993; Thiel et al., 1998, 2001; Winhuisen et al.,
2005, 2007). Recent reviews highlight that several recruitment mechanisms
1795 may occur, including persistent function in spared areas, compensatory recruit-
ment of alternate nodes, and involvement of areas that may hinder recovery
(Turkeltaub, Messing, Norise, & Hamilton, 2011). Based on these ideas, we
applied high-frequency rTMS over the left DLPFC to increase cortical excitability
and our results are consistent with this perspective. No adverse effects
1800 have been reported, supporting the safety of this approach (Rossi et al., 2009).

These facilitation effects may be related to changes in cortical excitability
and plasticity (Ridding & Rothwell, 2007). One possible explanation for these
stimulation effects is that they may be mediated by the enhancement of compensatory
1805 modifications in functional networks associated with a specific
function (Fridriksson, 2010). These modifications of cortical activity
through the use of stimulation may readjust the pathological patterns of
brain activity, thus providing an opportunity to normalise activity patterns
within the affected functional networks (Thut & Miniussi, 2009).

The present findings suggest that rTMS-induced modulation of short- and/
1810 or long-range cortical synaptic efficacy and connectivity, which potentiates
the system within the language network, leads to increased effects of
speech therapy (see Miniussi & Rossini, this issue).

The major limitations of this preliminary study were the small number of
1815 patients and the lack of a placebo stimulation group, for 2 and 4 weeks, with
and without speech therapy. Thus, the main findings of this study, i.e., that combined
behavioural-rTMS treatment induced a long-lasting effect on treated
items (up to 48 weeks), and contributed to a generalisation of therapy effects
to untreated items (observed earlier post-treatment in all three cases, but not
1820 always observed later) (Miceli, Amitrano, Capasso, & Caramazza, 1996),
need to be confirmed using a larger sample. The inclusion of a control group
receiving only language therapy is required to separate the respective contribution
of language therapy alone, and in combination with real or placebo
rTMS treatments.

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Q1 Cappa et al., 2003 – add to ref list

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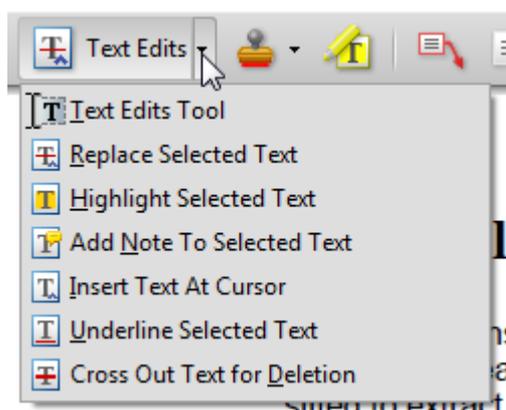
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